

UNCLASSIFIED

AD 297 406

*Reproduced
by the*

**ARMED SERVICES TECHNICAL INFORMATION AGENCY
ARLINGTON HALL STATION
ARLINGTON 12, VIRGINIA**



UNCLASSIFIED

NOTICE: When government or other drawings, specifications or other data are used for any purpose other than in connection with a definitely related government procurement operation, the U. S. Government thereby incurs no responsibility, nor any obligation whatsoever; and the fact that the Government may have formulated, furnished, or in any way supplied the said drawings, specifications, or other data is not to be regarded by implication or otherwise as in any manner licensing the holder or any other person or corporation, or conveying any rights or permission to manufacture, use or sell any patented invention that may in any way be related thereto.

AD No. **297 406**
ASTIA FILE COPY

297 406

63-2-6

D

Technical Report No. 2
Contract AF 61 (052) - 506

Research on
Electrically Small Antennas

Prof. Dr. H. H. Meinke

ASTIA
MAR 5 1963
TISIA

35R
360

Institut für Hochfrequenztechnik
der Technischen Hochschule München

Contract AF 61 (052) - 506

Technical Report No. 2

Research on electrically small antennas

Prof.Dr.H.H.Meinke, Institut für Hochfrequenztechnik
Technische Hochschule München, Germany

30. November 1962

"The research reported in this document has been sponsored by the Aeronautical Systems Division, AFSC through the European Office, Aerospace Research, United States Air Force".

Table of contents

	page
Abstracts	1
I. Very short radiator in a polystyrol shell of improved shape	3
II. Very short radiator in ferrite shells of improved shape	6
Conclusions	13
Bibliography	16
Glossary of Symbols	17
List of Illustrations	18

Abstract

This report describes the results obtained in the investigation of very short antennas which were surrounded with a dielectric medium especially ferrite. It has already been shown in Technical Report No. 1 that a performance improvement of the electrically small antenna can only be obtained by achieving a bandwidth enlargement of the antenna impedance. Also Technical Report No. 1 has shown that an enlargement of the bandwidth of a very short antenna was not obtained by inserting dielectric in the region between the feeding point and a field line $z = z_0$. This is caused by the fact that the dielectric in the immediate vicinity of the feeding point also causes an undesirable increase in the reactive power of the transmission line wave. Therefore an increase in the antenna bandwidth can not be obtained by inserting dielectric material in the immediate vicinity of the feeding point. In this report an improved shape as compared to that of Technical Report No. 1 is described for the dielectric shell surrounding the antenna. Here the medium begins at some distance away from the feeding point. (see Fig. 1)

In Section I the polystyrol body of improved shape according to Fig. 1 is discussed. The corresponding feeding point impedance (Fig. 2) and bandwidth (Fig. 3) is calculated and compared with measured values. An improvement in the bandwidth as compared to that of the antenna with polystyrol in Technical Report No. 1 was obtained. However the bandwidth of the antenna surrounded with the improved polystyrol shape is still smaller than the bandwidth of an antenna in air.

In Section II ferrite bodies according to Fig. 1 of two different material types were used and investigated. The corresponding feeding point impedance and antenna bandwidth

were calculated and compared with the measured values. The results show that compared to the radiator in air no improvement of the bandwidth of very small antennas (λ_0 larger than $1/20$ antenna height) is obtained by surrounding the antenna with either a ferrite or polystyrol shell. If the height of the antenna is larger than $\lambda_0/20$ some improvement of the antenna bandwidth can be obtained by surrounding the antenna with a dielectric medium especially ferrite.

I. Very short Radiator in a Polystyrol Shell of improved Shape

Technical Report No. 1 described the investigations which were undertaken with a radiator surrounded with a dielectric medium which extended from the feeding point to a field line: $z = z_0$. In the following text TR1 will be used as an abbreviation for "Technical Report No. 1". In this Technical Report No.2 the behaviour of an improved geometric shape of the dielectric medium is described.

Figure 21 (TR1) shows that the additional radiation caused by the dielectric medium may first occur outside the field line $z/h = 0,5$. Between the field line $z/h = 0,5$ and the feeding point of the radiator, the dielectric medium only causes a bandwidth decreasing transformation effect. Therefore the dielectric material should only be placed in those regions of the antenna where it could aid the effect of additional radiation. Figure 1 shows the improved geometric shape of the dielectric material. This improved shape is constructed of the same polystyrol material as that of the shape in TR1 ($\epsilon_r = 2,5$).

The impedance caused by the outer space at the coordinate $z = z_0$ which is the border of the dielectric medium is always the same for very short antennas, independent of the dielectric medium. Curve II of Fig. 16 in TR1 shows this impedance Z_1 at $z = z_0$ which has been calculated from the impedance measurements of the antenna in air. The transformation of this known impedance Z_1 at the field line $z = z_0$ inwards towards the field line $z = z_d$ of Figure 1 is obtained by using a computer in conjunction with the Runge-Kutta method for

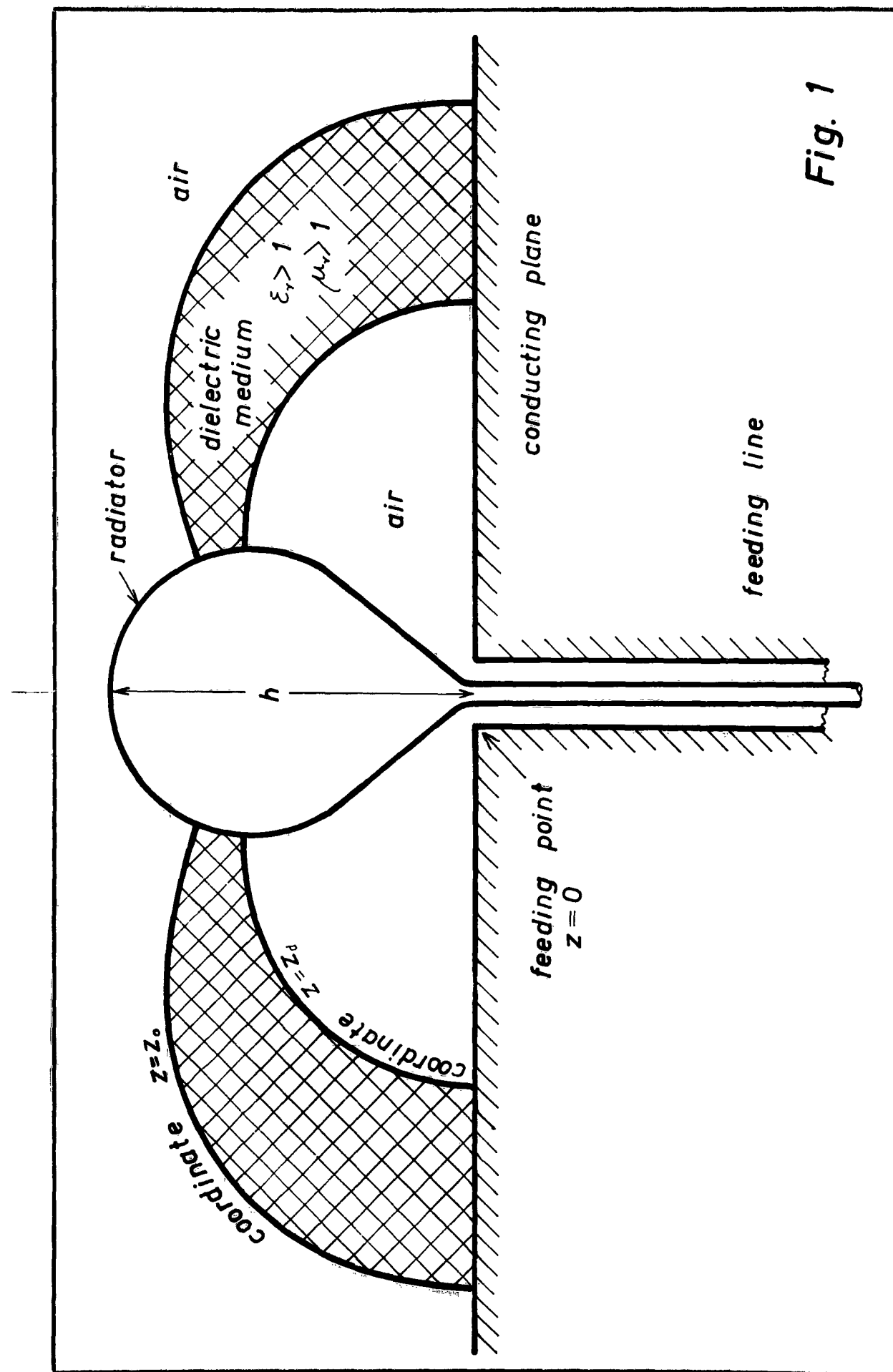


Fig. 1

solving eq. (1) below.

$$\frac{dZ_1}{dz} = j \frac{2\pi}{\lambda_\epsilon} \cdot \frac{1}{Z_{Lo} \sqrt{\frac{1}{\epsilon_r}}} \left(Z_1^2 - F_o Z_{Lo}^2 \frac{1}{\epsilon_r} \right) \quad (1)$$

this corresponds to equation (67) of TR1.

Z_1 is the impedance to be transformed.

Z_{Lo} is the characteristic impedance of the feeding line.

$$\lambda_\epsilon = \frac{\lambda_o}{\sqrt{\epsilon_r}} \quad (2)$$

The transformation of the impedance from $z = z_d$ inwards towards $z = 0$ occurs according to the following equation:

$$\frac{dZ_1}{dz} = j \frac{2\pi}{\lambda_o} \cdot \frac{1}{Z_{Lo}} \left(Z_1^2 - F_o Z_{Lo}^2 \right) \quad (3)$$

This is eq. (68) of TR1.

The dielectric and resistive losses are so small that they can be neglected in the calculation procedure as was done with the antenna in TR1.

Curve I in the Smith-chart of Figure 2 shows the plot of the calculated feeding point impedance for the frequency range 200 - 1000 mc/s. Curve II gives the measured values.

If the antenna height h is smaller than $\lambda_o/20$ then the measured and calculated impedance values are in good agree-

ment. If h is larger than $\lambda_0/20$ then the measured impedance values lie closer to the $\text{SWR} = 1$ point than the calculated values. This was also observed with the antenna described in TR1 and is caused by the additional radiation effect of the dielectric.

Figure 3 gives a plot of b_{rD}/b_{rA} which is the ratio of the relative bandwidth of the antenna with improved dielectric medium shape to that of an antenna in air.

$$\frac{b_{rD}}{b_{rA}} = \frac{R_{sD}}{R_{sA}} \cdot \left| \frac{dZ_A}{dZ_D} \right| \quad (4)$$

this is eq. (72) of TR1.

index D means antenna in dielectric medium.

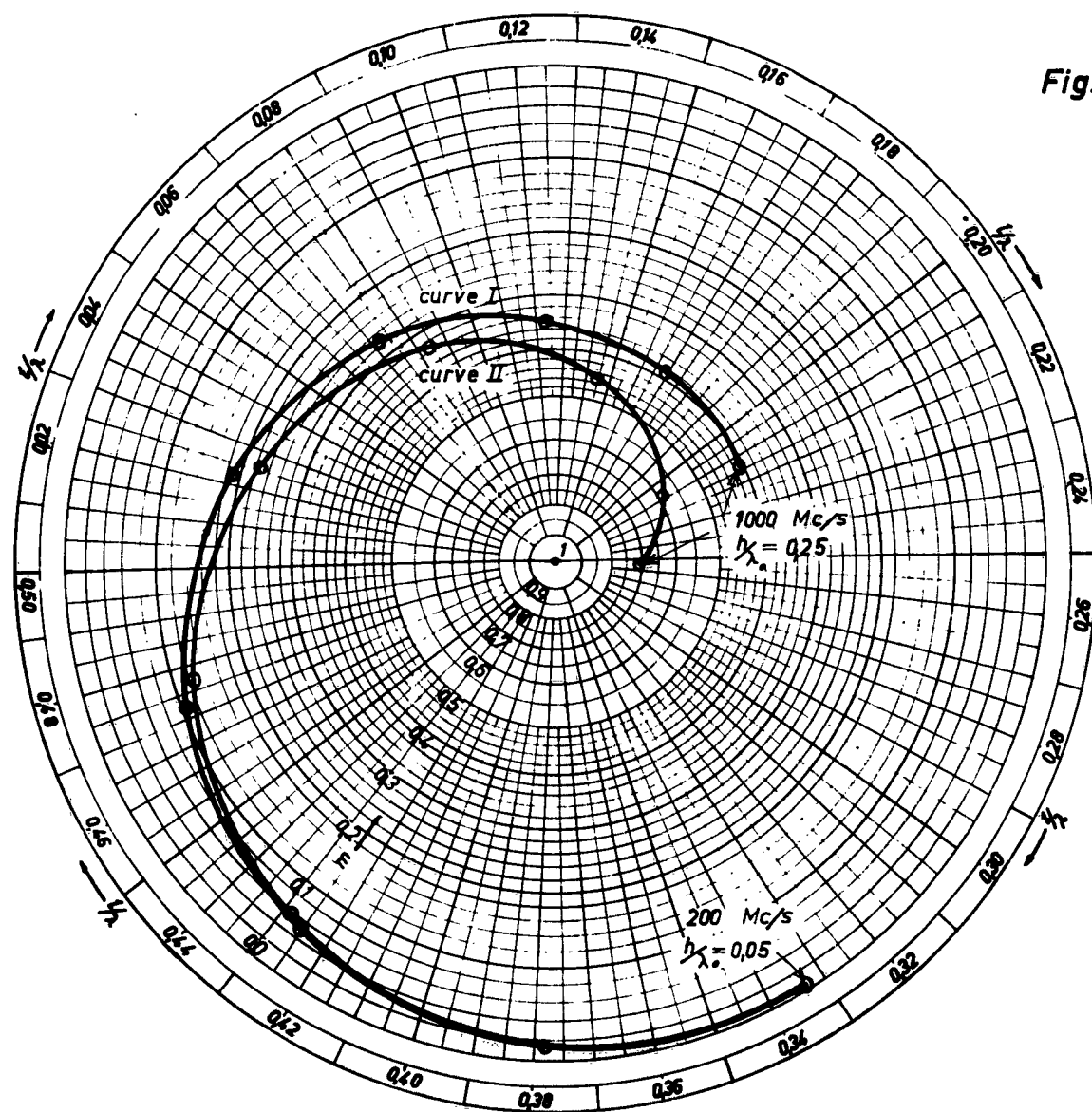
index A means antenna in air.

$R = \text{Re}(Z)$

Curve I of Fig. 3 is a plot for this ratio using the calculated impedance values; curve II gives a plot for the same ratio using the measured impedance values. As a result of the additional radiation, the values for b_{rD}/b_{rA} obtained by using the measured impedance data is larger than that obtained by using the calculated impedance data.

If the curves of Fig. 3 are compared with the corresponding curves of TR1 (Fig. 20) then it is readily realized that the new form of the dielectric medium yields a noticeable improvement of the bandwidth. However the bandwidth of the antenna surrounded with the improved polystyrol shape is still smaller than the bandwidth of an antenna in air.

Fig. 2



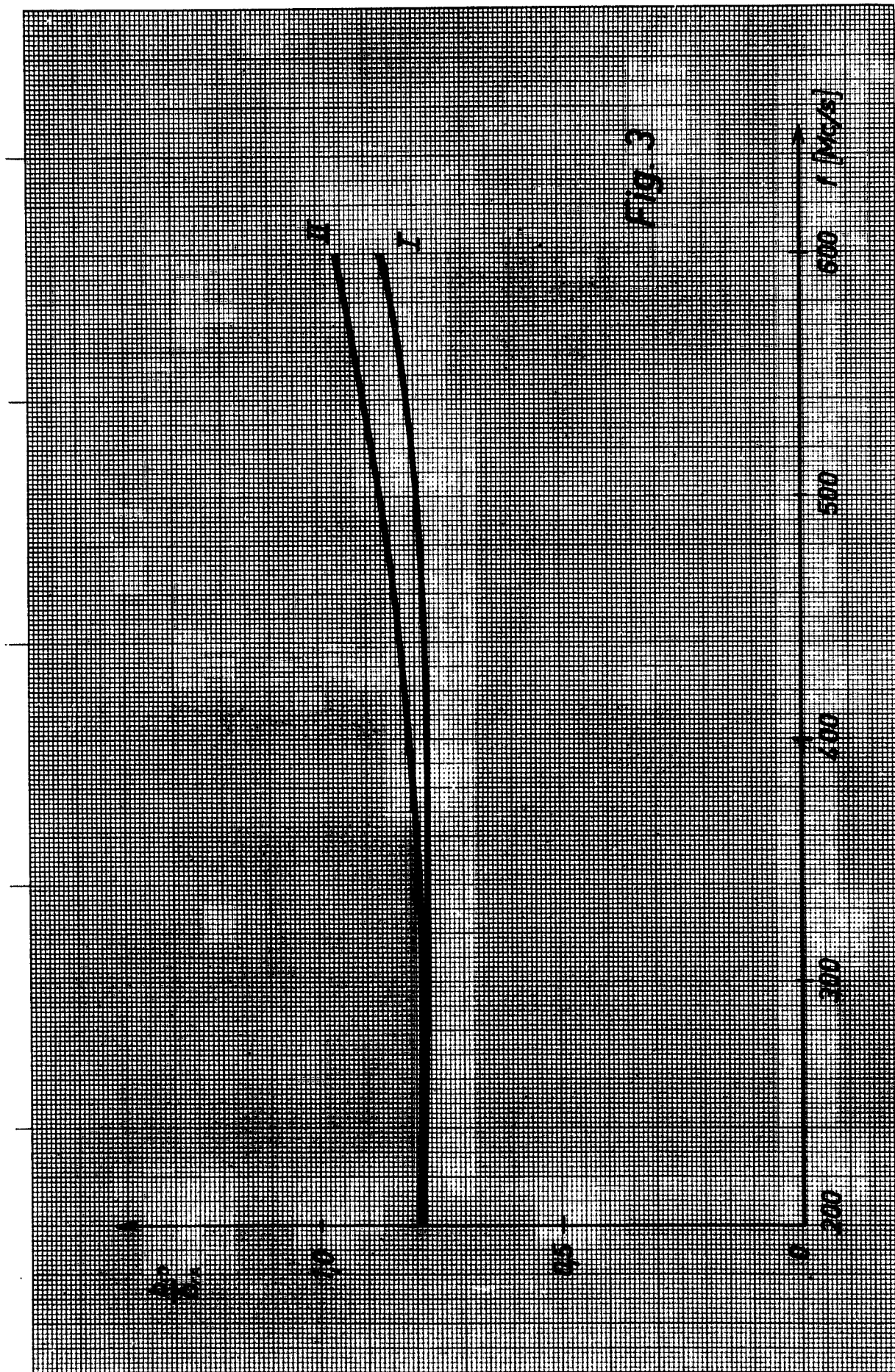


Fig. 3

II. Very short Radiator in Ferrite Shells of improved Shape

The medium which surrounds the spherical antenna shall now be constructed of Ferrite material. Ferrite powder mixed with melted paraffin (binding medium) was used. At warm temperatures this mixture could be formed to the desired shapes. Upon cooling the mixture has approximately the same rigidity as paraffin.

A test set-up was constructed for measuring the electric and magnetic characteristics of this material. (see Fig. 4). The latter consists of a variable short circuit tuning stub, a test-sample line and a slotted line arrangement. Figure 5 shows various ferrite samples which were inserted between the inner and outer conductor of the test-sample line. Figure 6 shows the test-sample line with inserted ferrite sample. The measuring equipment serves as a means of determining the following material constants:

$$\begin{aligned} \epsilon_r' , \epsilon_r'' \quad \text{with} \quad \tan \delta_\epsilon = \frac{\epsilon_r''}{\epsilon_r'} \\ \text{and} \\ \mu_r' , \mu_r'' \quad \text{with} \quad \tan \delta_\mu = \frac{\mu_r''}{\mu_r'} \end{aligned} \tag{5}$$

Figures 7, 8, 9, and 10 give the measured material constants of four different Ferrite materials obtained from Siemens & Halske Company. The constants ϵ_r' and μ_r' remain approximately constant in the frequency range between 200 and 1,000 mc/s.

whereas ϵ'' increases slightly with increasing frequency and μ'' increases rapidly with increasing frequency.

Ferrite of types U 60 (Fig. 7) and HFFi (Fig. 8) were used in the construction of the improved shapes (Fig. 1)

Fig. 11a and b shows the plaster molds used for forming the ferrite shapes.

Fig. 12 shows two finished ferrite shapes and one shape made out of polystyrol.

Fig. 13 shows a bottom view of a Ferrite shape and Fig. 14 shows the latter with a spherical radiator in its center on the measuring plane.

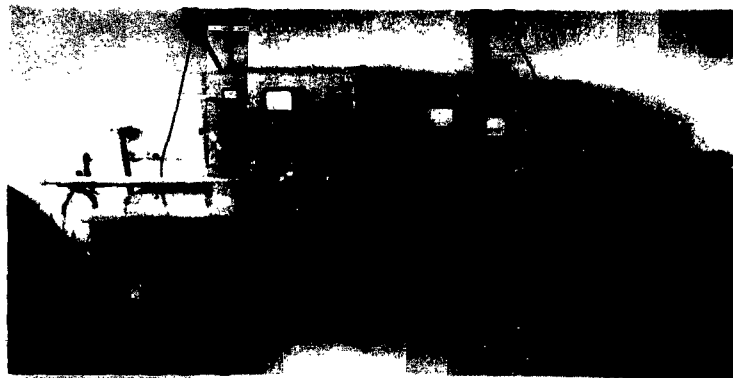


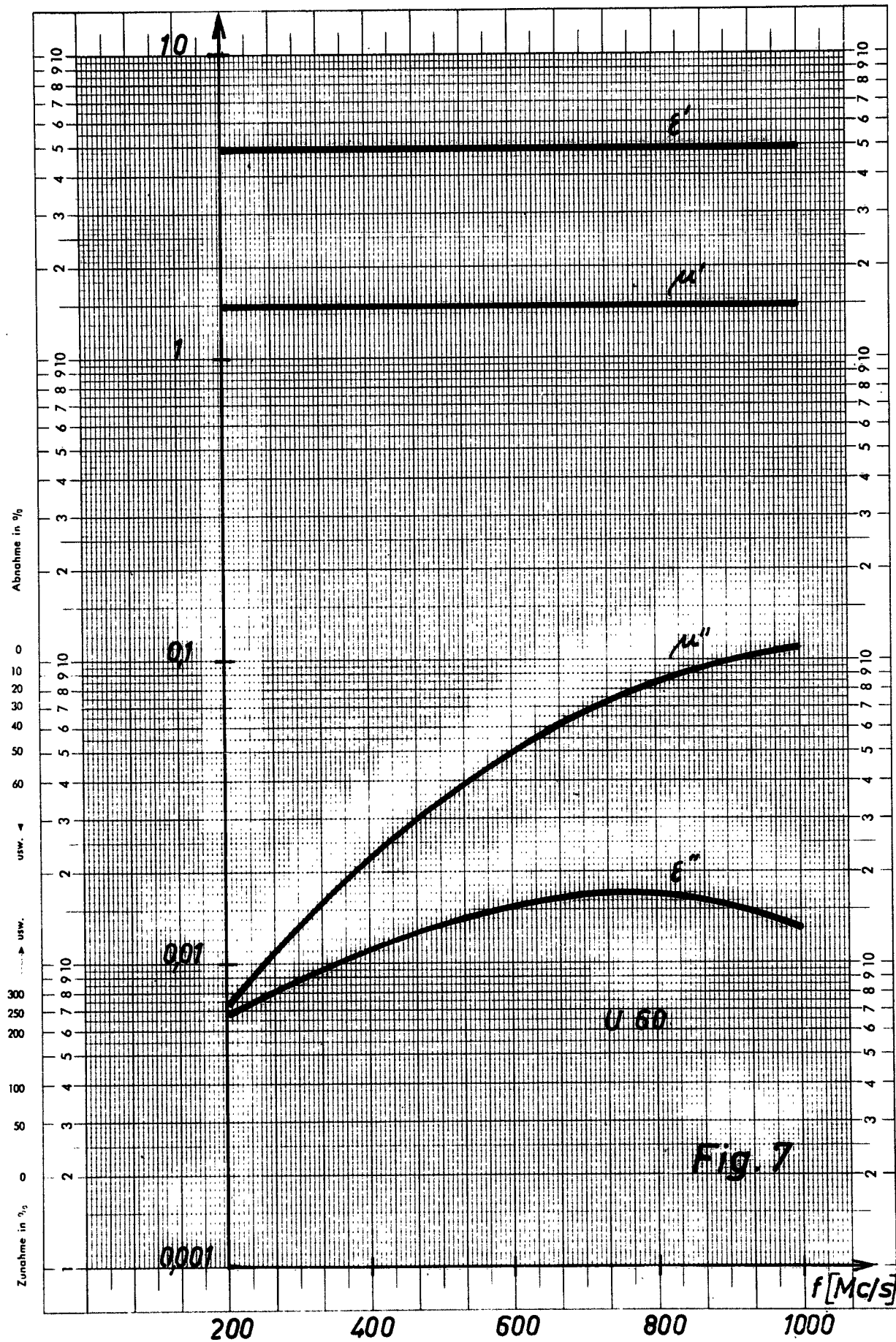
Fig. 4

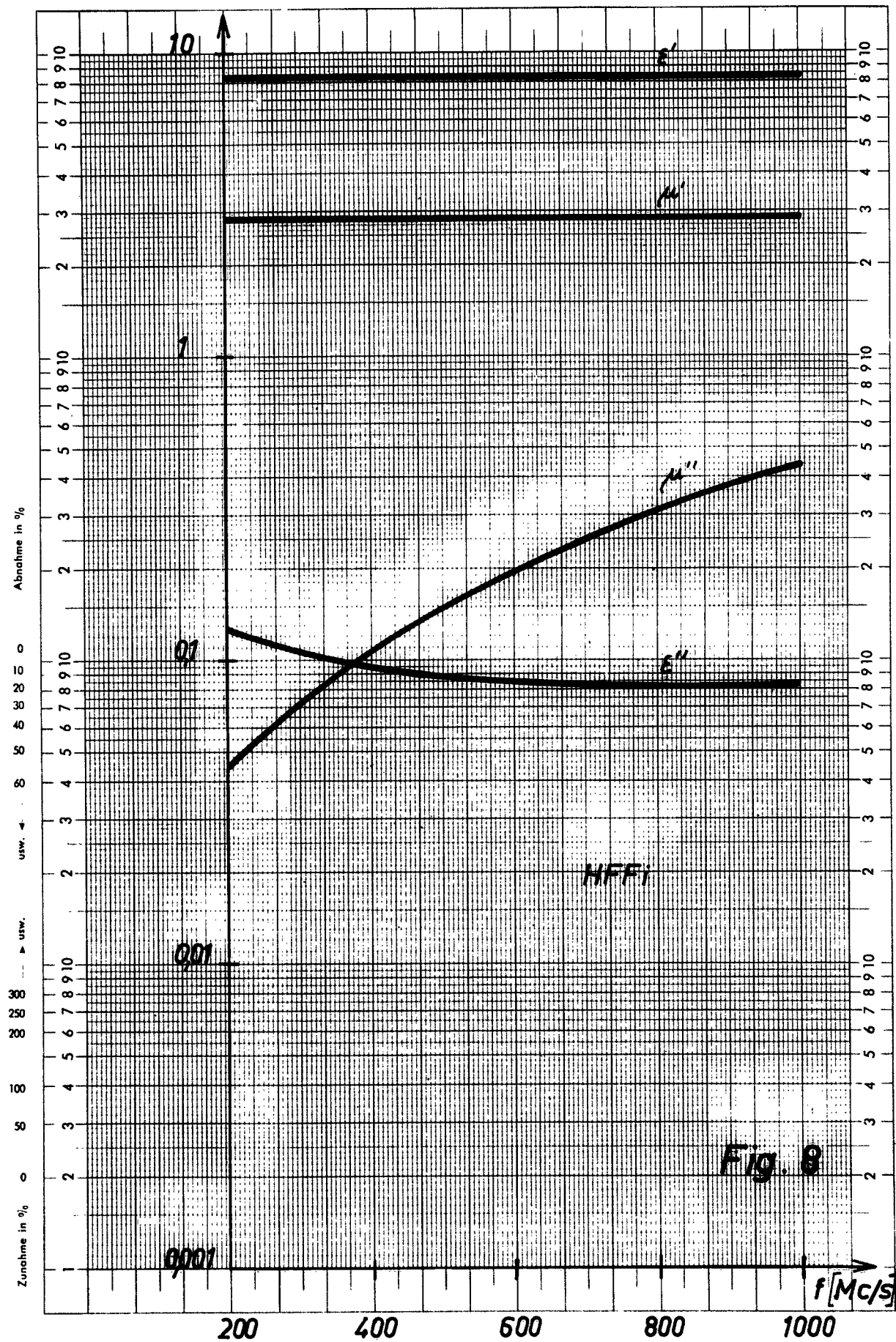


Fig. 5



Fig. 6

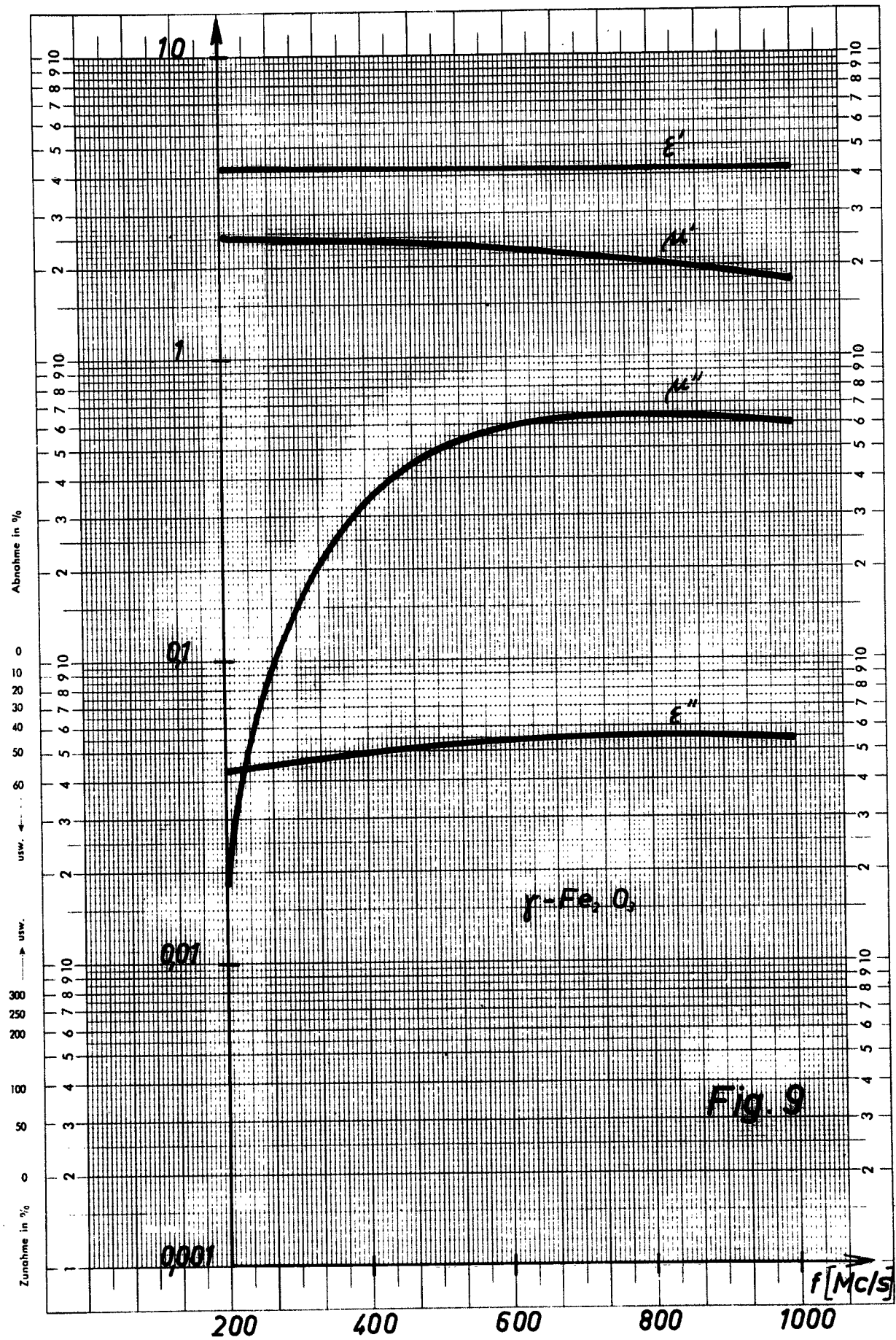


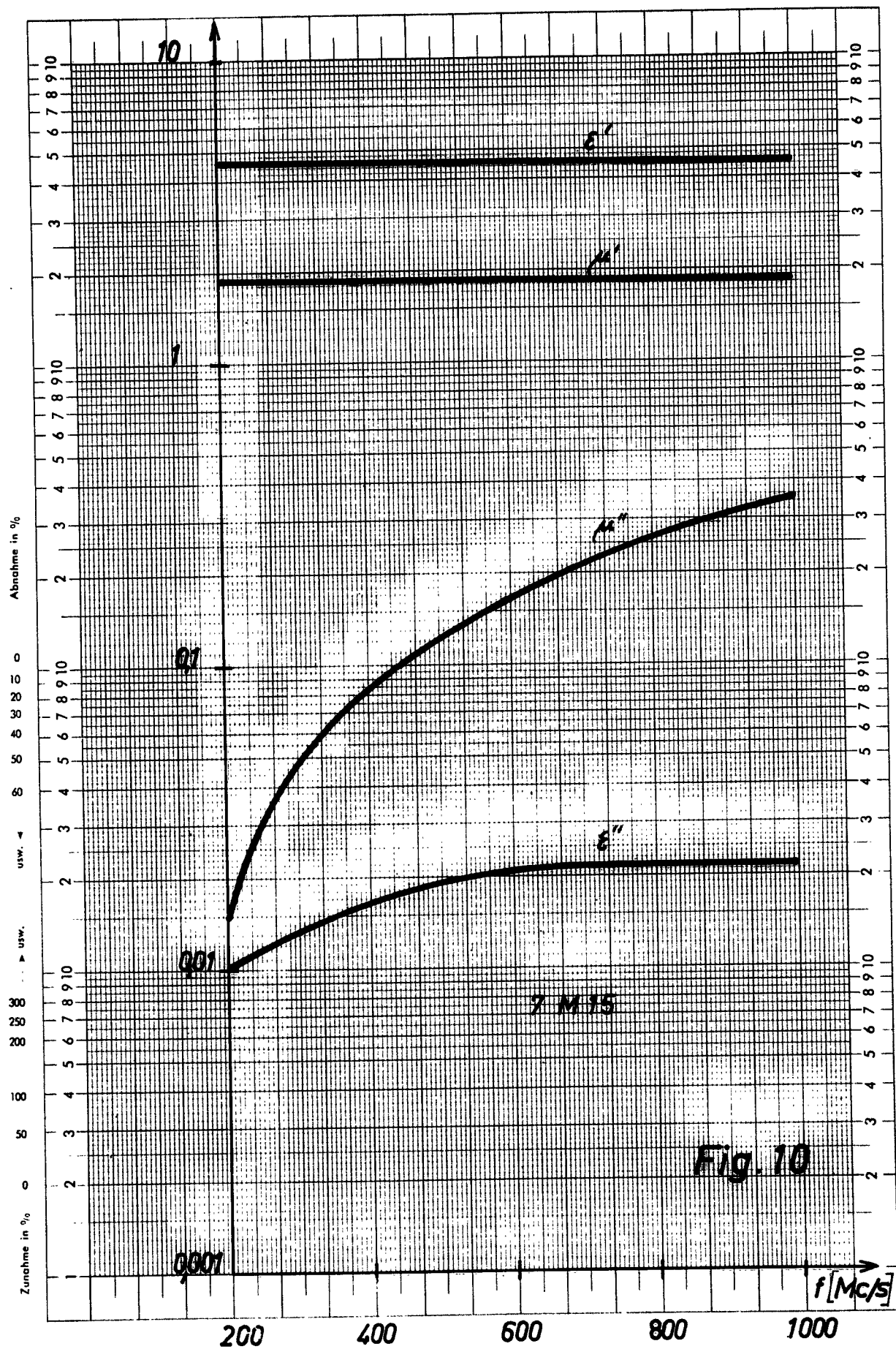


COPYRIGHT CARL SCHLITZBEGER & SCHILL-REINERCK SLAZ. Nr. 369¹/₂:6 P

Eine Achse logar. geteilt von 1 bis 10000, Einheit 62,5 mm, die andere in mm mit Prozentmaßstab

★ ★ ★





COPYRIGHT CARL SCHULZINGER & SCHOELL-FRANZISCHE HAN. Nr. 369' 1/2: 6 P

Eine Achse logar. geteilt von 1 bis 10000, Einheit 62,5 mm, die andere in mm mit Prozentmaßstab

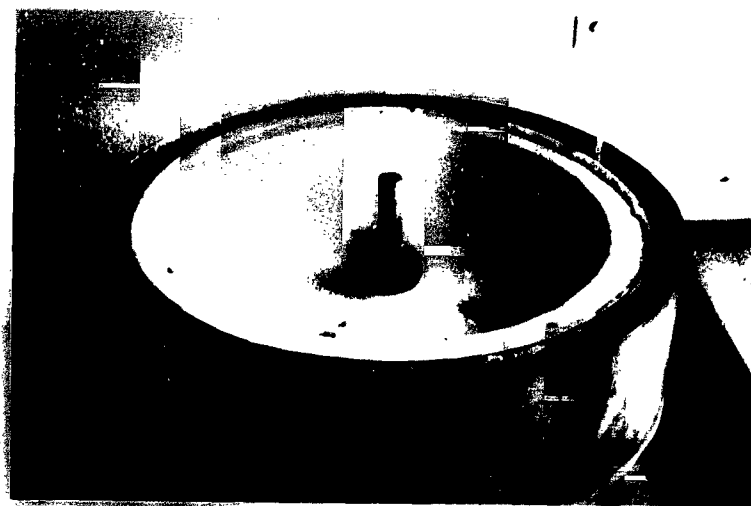


Fig. 11a

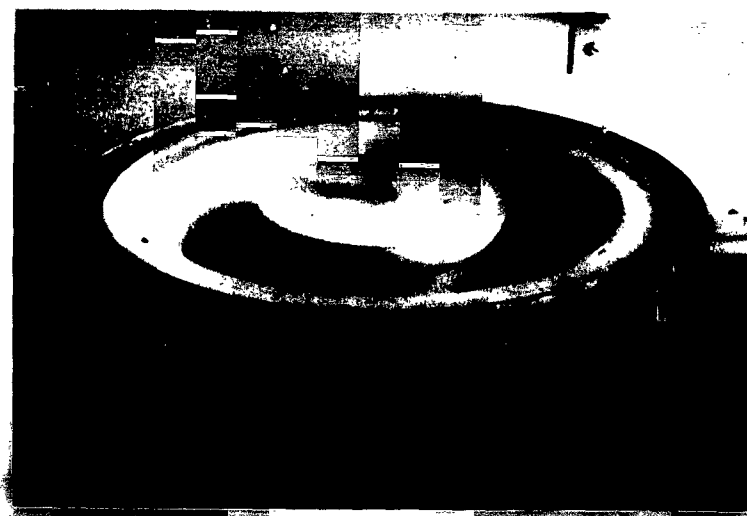


Fig. 11 b

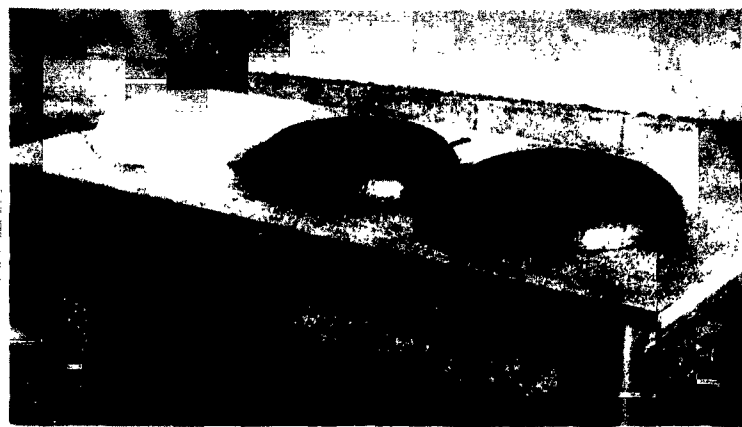


Fig. 12



Fig. 13



Fig. 14

Calculation of the Feeding Point Impedance taking into consideration the electric and magnetic field losses

The transformation of the known impedance at the field line $z = z_0$ (see TR1, Fig. 16 curve II) inwards towards the field line $z = z_d$ occurs according to:

$$\frac{dZ_1}{dz} = j \frac{2\pi}{\lambda^*} \cdot \frac{1}{Z_{L0} \sqrt{\frac{\mu_r}{\epsilon_r}}} \left(Z_1^2 - F_0 Z_{L0}^2 \frac{\mu_r}{\epsilon_r} \right)$$

(6)

with

$$\lambda^* = \frac{\lambda_0}{\sqrt{\epsilon_r \mu_r}}$$

this corresponds to eq. (70) of TR1.

As was the case with the antenna in TR1, the resistive losses can be neglected since the outer surface of the radiator is silver plated. However the electric and magnetic field losses of the ferrite body itself are so large in the frequency range between 200 and 1,000 mc/s that these must be included in the impedance calculation. Therefore a complex material constant is actually used.

$$\begin{aligned} \epsilon_r &= \epsilon_r' - j \epsilon_r'' \\ \mu_r &= \mu_r' - j \mu_r'' \end{aligned}$$

(7)

$\epsilon_r', \epsilon_r'', \mu_r', \mu_r''$ are obtained from Fig. 7 and 8. If eq. (7) is inserted into eq. (6) then a transformation equation for the inhomogeneous line with consideration of the electric and magnetic field losses is obtained. The impedance which is obtained in this manner is now transferred from the field

line $z = z_1$ inward towards the feeding point $z = 0$ by using eq. (3).

Curve I of Fig. 15 shows the calculated feeding point impedance of the antenna with ferrite U 60 ($\epsilon' = 4,9$, $\mu' = 1,48$). The latter is plotted on a Smith-chart for the frequency range between 200 and 1,000 mc/s. Curve II shows the measured values of the feeding point impedance of this antenna.

If the antenna height h is larger than $\lambda_0/20$ then the measured impedance values once again lie nearer the $SWR = 1$ circle than the calculated values, and can again be explained as being caused by the additional radiation due to the ferrite. However a second effect exists here:

The measured impedance of curve II in Fig. 15 shows an indentation with a small loop in the frequency range about 600 mc/s. Here the impedance lies very close to the $SWR = 1$ point. This loop is caused by a cavity-resonance of the W_1 -wave within the ferrite body. The wavelength λ_{w1} of the W_1 wave within the ferrite body is smaller than its equivalent in air by the factor:

$$\frac{1}{\sqrt{\epsilon_r \mu_r}}$$

The first resonance of the W_1 -wave occurs in the frequency range about 600 mc/s where the electrical wavelength between z_1 and z_0 has the values $\lambda_{w1}/2$. The next resonance occurs at twice this frequency namely: 1,200 mc/s. In the impedance plot of curve II in Fig. 15 one can already notice the beginning of the second loop at 1,000 mc/s.

The exact transformation of the impedance Z from the outer field line $z = z_0$ inwards towards the field line z_d occurs according to the equation:

$$\frac{dZ_1}{dz} = j \frac{2\pi}{\lambda^*} \left[\frac{Z_1^2}{Z_{L0} \sqrt{\frac{\mu_r}{\epsilon_r}}} - Z_{L0} \sqrt{\frac{\mu_r}{\epsilon_r}} \left(F_0 + \frac{1}{2} F_1 \frac{W_1}{W_0} + \dots \right) \right] \quad (8)$$

with

$$\lambda^* = \frac{\lambda_0}{\sqrt{\epsilon_r \mu_r}}$$

This corresponds to equation (62) of TR1.

In the vicinity of the cavity resonance of the W_1 -wave, W_1/W_0 becomes very large and via the factor F_1 of eq. (8) influences the impedance transformation dZ/dz of the W_0 -wave. In the calculation of the impedance in accordance with eq. (6) the factor $\frac{1}{2} F_1 \frac{W_1}{W_0}$ of eq. (8) was not taken into consideration.

Therefore the calculated impedance depicted by curve I of Fig. 15 does not contain a loop.

In the impedance calculation a consideration of the W_1 -wave is not possible since the magnitude of W_1/W_0 is not known. Whereas the impedance Z of the W_0 wave of a radiator in air can be determined via measurement and used for the impedance calculation of the W_0 -wave of the ferrite antenna, this is

not possible for the W_1 cavity resonance since the antenna surrounded with air does not possess such a cavity resonance.

The resonance behaviour of the W_1 -wave in ferrite does not cause a change in the cosine shape of the directional pattern of the antenna as long as the geometric antenna height h is small compared to the wavelength. If a different antenna pattern is desired then the geometrical height h must be in the order of λ_0 [1].

The cavity resonance can be considered as a transforming network in the inhomogeneous antenna line. Therefore it can be replaced by a suitably chosen four pole network which is inserted at the feeding point of the small antenna. As a result it can be realized that this phenomenon does not bring a real improvement of the antenna characteristics.

Figure 16 shows the ratio b_{rF}/b_{rA} of the relative bandwidth of an antenna imbedded in Ferrite U 60 to the relative bandwidth of the antenna in air. Curve I of Fig. 16 is that of the calculated impedance; curve II is a plot of the measured values. As a result of the additional radiation and the additional transformation effect of the cavity resonance at 600 mc/s, curve II lies above curve I.

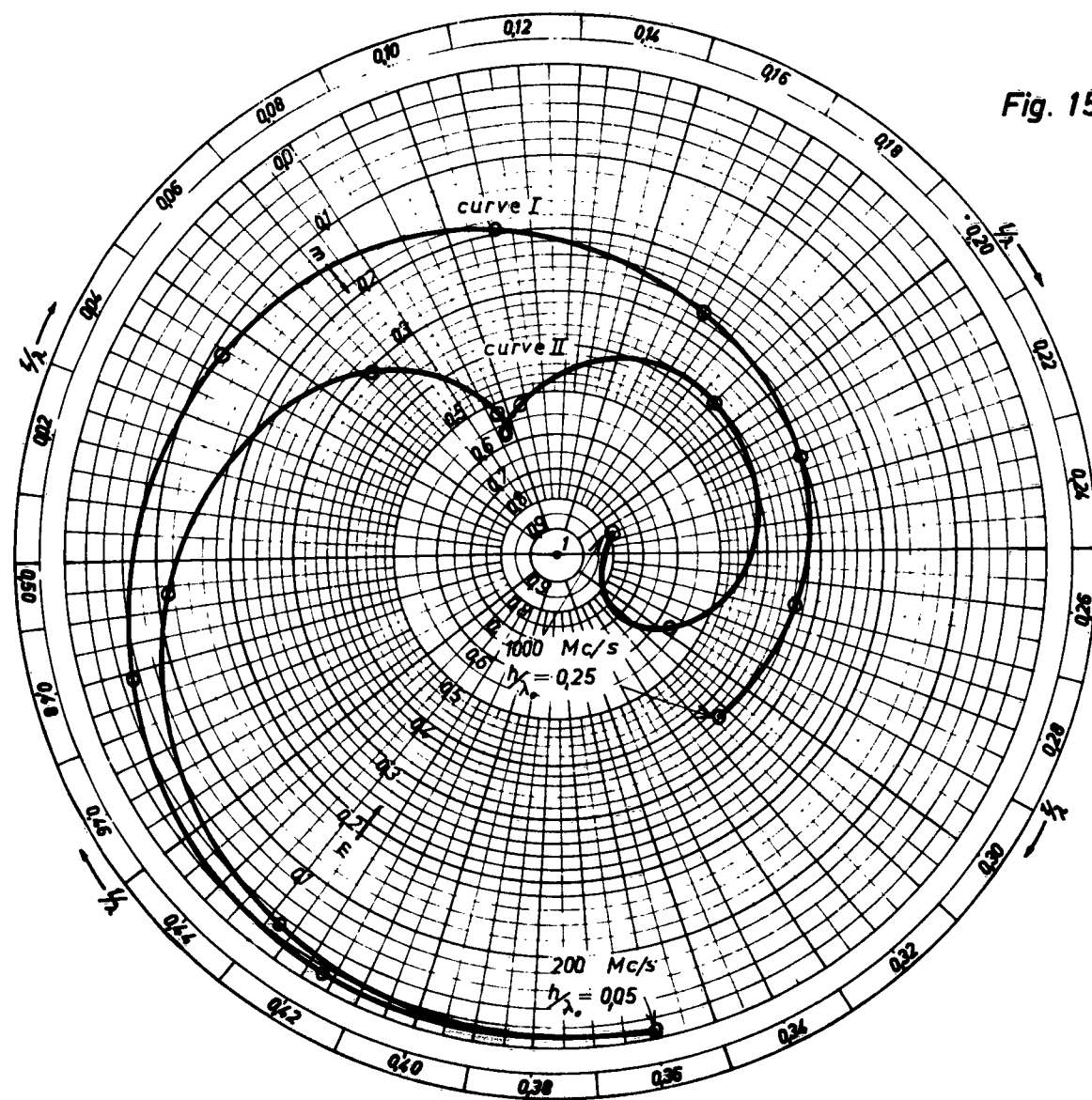
The definition of the bandwidth (4) is only plausible when the impedance plot does not contain loops. Therefore curve II is shown in broken line form above 450 mc/s.

Fig. 17 gives a plot of the feeding point impedance of an

antenna with Ferrite HFFi ($\epsilon'_r = 8.25$, $\mu'_r = 2.82$) in the frequency range 200 to 1,000 mc/s. Curve I shows the calculated values, curve II the measured values of the feeding point impedance of this antenna.

Curve II once again lies closer to the point $\text{SWR} = 1$ of the Smith-chart than does curve I. As a result of the large values of ϵ'_r and μ'_r the loop in the measured impedance plot already occurs at 350 mc/s. Curve I of Fig. 18 shows the ratio b_{rF}/b_{rA} using the calculated impedance values and curve II for the measured impedance values. Since the first loop already occurs at 350 mc/s, curve II is drawn in broken line form above 250 mc/s.

Fig. 15



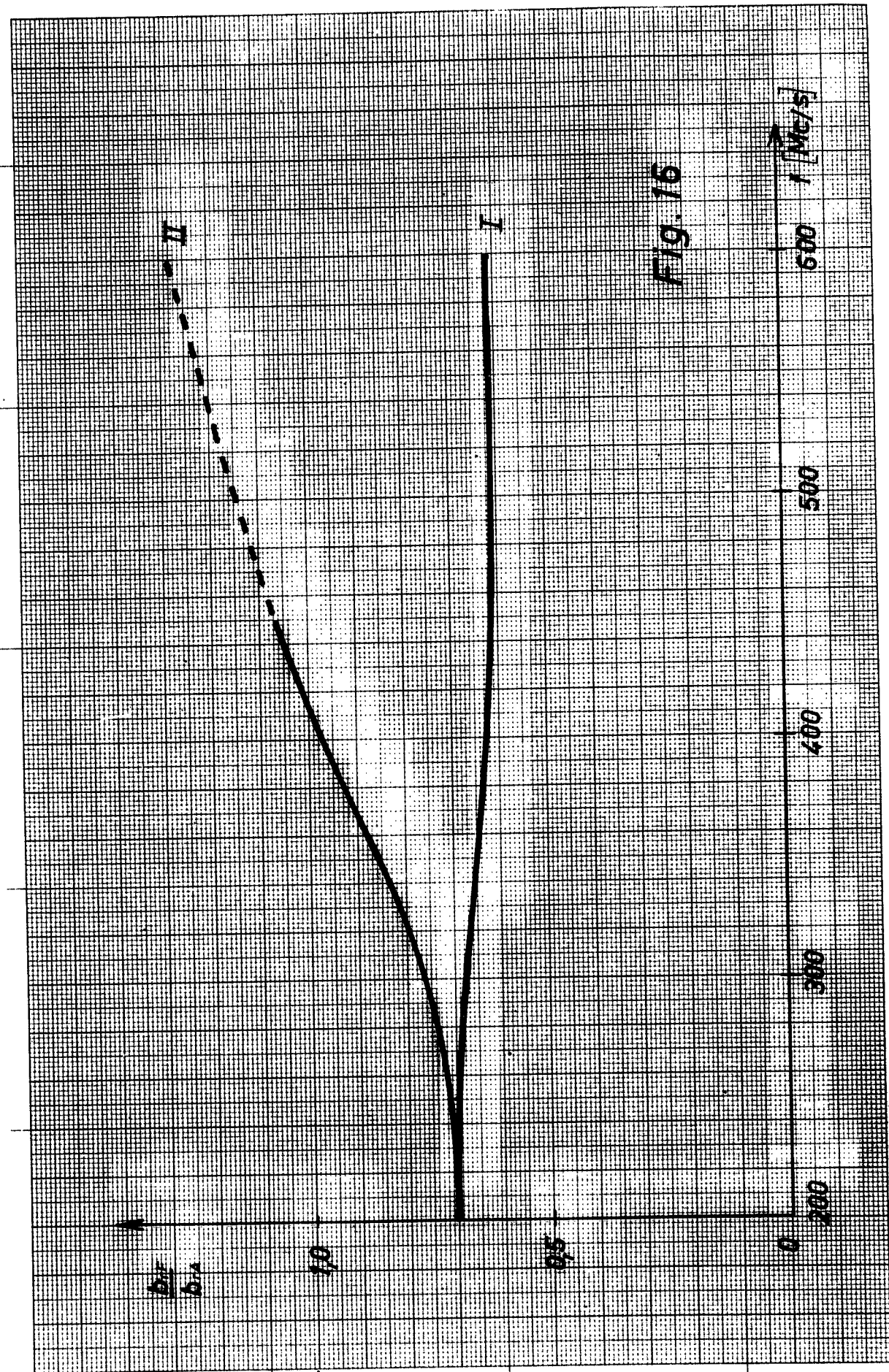
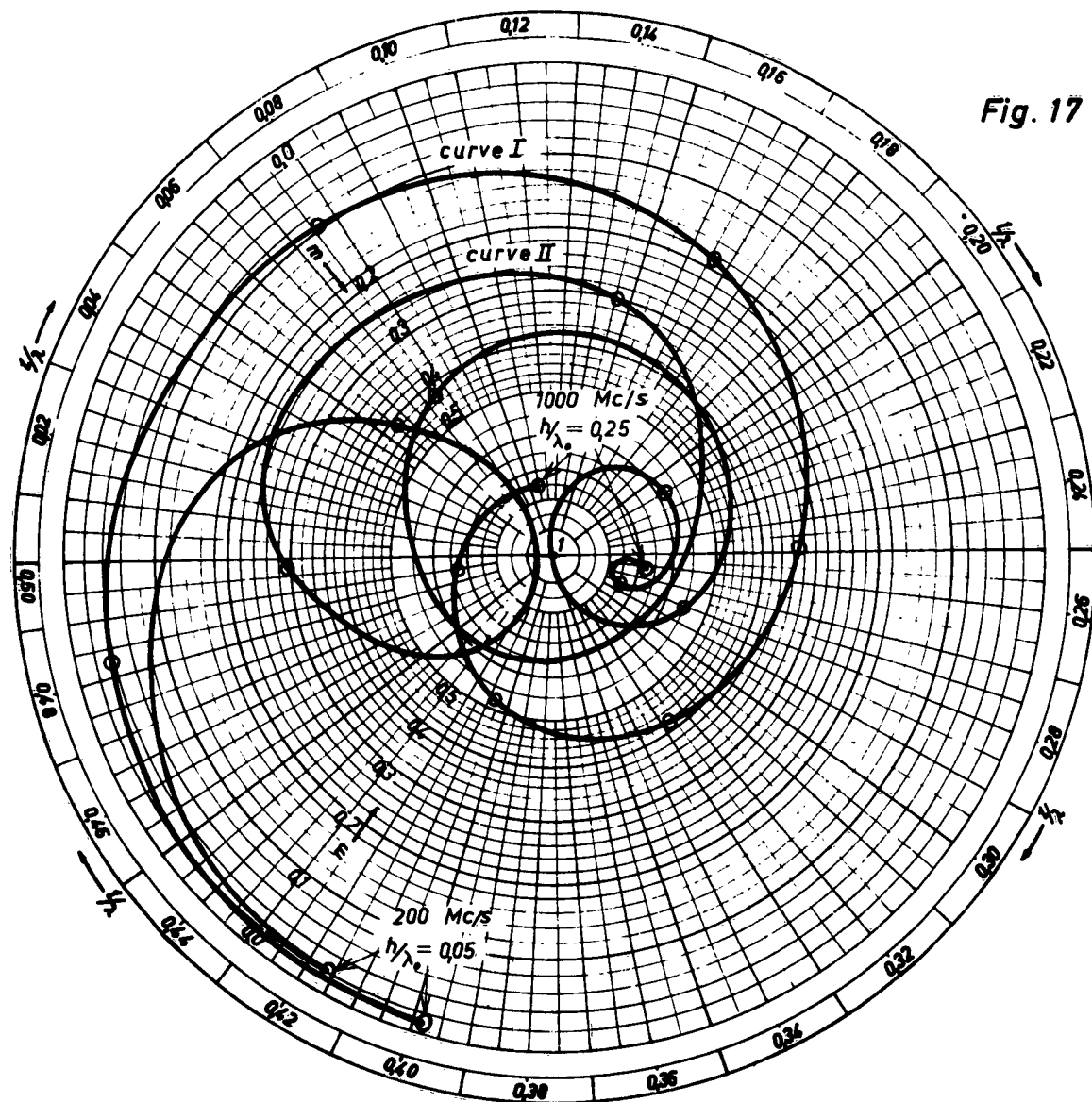


Fig. 16

Fig. 17



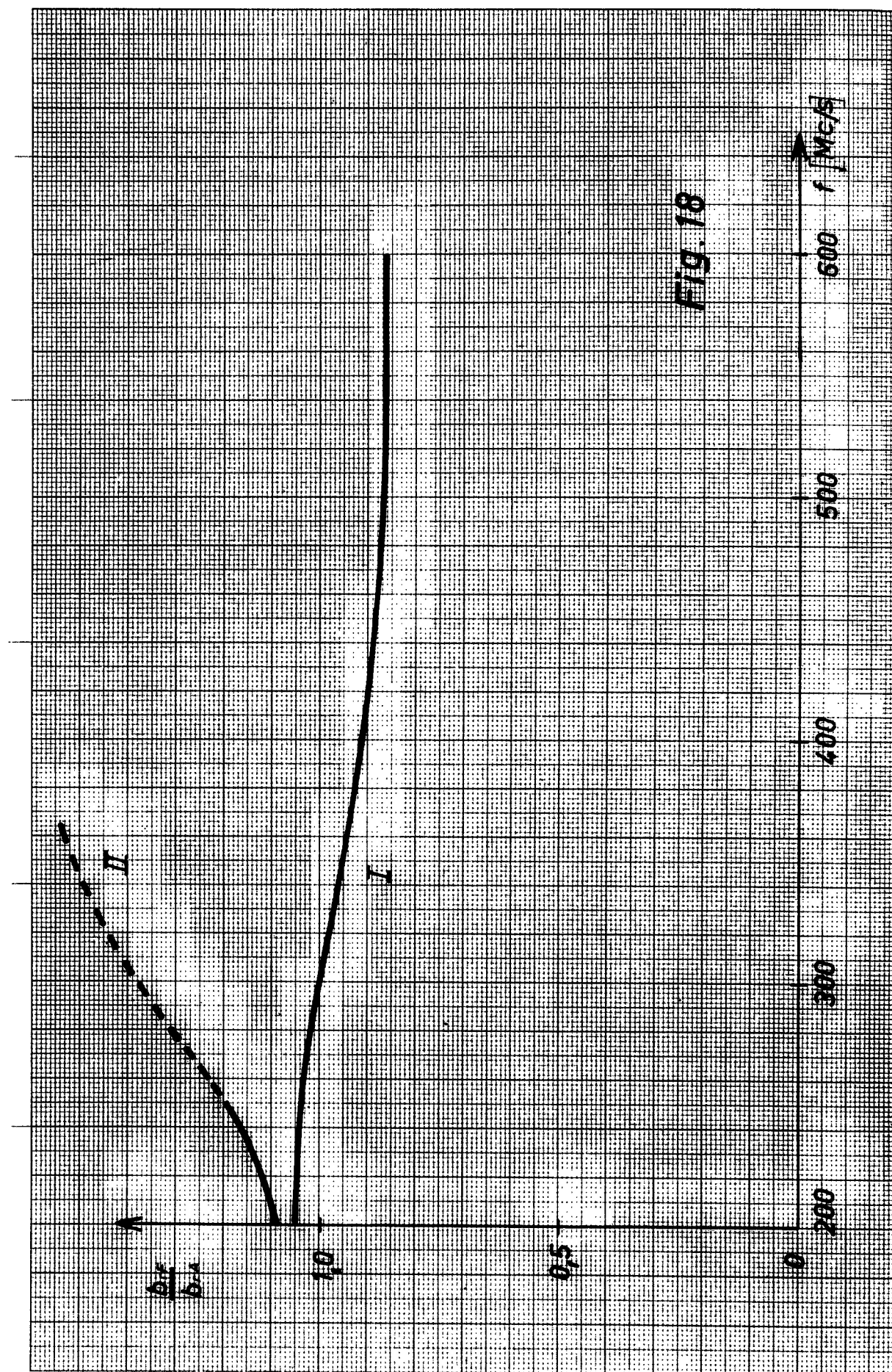


Fig. 18

Conslusions

As was shown in TR1 the practical use of a very short antenna is dependent on its bandwidth. Therefore if one wants to improve the characteristics of a very short antenna, a means must be found to increase its bandwidth. This could be possible by surrounding the radiator with dielectric material especially ferrite. First the radiator was surrounded with polystyrol and the effect of various geometric forms of the dielectric material upon the antenna behaviour was investigated. Theory and measured data has shown that a larger bandwidth is obtained when the dielectric begins at some distance away from the feeding point of the antenna than in the case of having the dielectric already begin at the feeding point. That portion of the dielectric which is in the vicinity of the feeding point of very short antennas can not bring an improvement in the radiation behaviour and thus does not result with an increase in the bandwidth. Rather, this region of the dielectric only causes an increase in the reactive power of the transmission line wave. This reactive power is undesirable since it causes a decrease in the bandwidth.

These results seem to indicate that a sphere totally filled with dielectric material may not be the most suitable shape for surrounding a dipole.

According to [2] and [3] a fundamental limit is imposed on the bandwidth of antennas by the impedance of the spherical wave functions representing their radiated fields. A cosine

form of the directional antenna pattern is obtained with very short antennas whose geometric height h is small compared to the wavelength regardless if the antenna is surrounded with ferrite or not. [1]. Therefore only the lowest order dipole mode of these spherical wavefunctions appears. Then the impedance of the latter mode is the same for all very short antennas. Therefore a ferrite shell surrounding a very short antenna does not bring a real improvement in antenna behaviour; rather only a different transformation form of the antenna impedance results. Our impedance measurements indicate a cavity resonance of the W_1 -wave in the ferrite body. When a ferrite with very high μ , is used, the cavity resonance is excited at very low frequencies. But this resonance does not contribute to radiation and thus has no bandwidth increasing effect because the air filled outer space region in immediate vicinity of the ferrite body can not support the W_1 -wave in its propagating form. Only at very distant regions away from the antenna can W_1 again exist as a propagating wave in the air filled outer space. Thus this cavity resonance also has only a transforming effect. Since the antenna is very short all this transformations could also have been accomplished by inserting a suitable fourpole network in the feeding point of the antenna.

If the antenna is chosen to be larger than $\lambda_0/20$ then the ferrite material can also influence the impedance of the lowest order spherical wave function. This is especially true when the ferrite shell has approximately the same height

as that of the antenna itself. As the antenna height is increased the wave function already has propagating wave characteristics in the air-filled outer space region near the ferrite body. Then additional radiation may be transmitted from the ferrite body into space. This additional radiation causes some enlargement of the antenna bandwidth.

Bibliography

- [1] AEL Final Progress Report 1. May 1957 sect. IV B 4 c
Contract No. DA - 36 - 039 SC - 73263
- [2] SRI Project 2494 Techn.rep. 71 Aug. 1960
AFCRL - TN - 60 - 997 sect. VB
- [3] L.I. Chu : Physical limitations on Omni - Directional
Antennas, I. Appl. Phys. 19, 12 (Dec. 1948)

Glossary of Symbols

$b_{r,A}$	Relative bandwidth of antenna in air
$b_{r,D}$	Relative bandwidth of antenna in dielectric
$b_{r,F}$	Relative bandwidth of antenna in ferrite
ϵ_r	Relative dielectric constant
ϵ_r'	Real part of complex ϵ_r
ϵ_r''	Imaginary part of complex ϵ_r
F_n	Fourier coefficients
h	Geometrical height of the radiator
λ_0	Wavelength in air
λ_ϵ	Wavelength in dielectric medium
λ^*	Wavelength in ferrite
μ_r	Relative permeability
μ_r'	Real part of complex μ_r
μ_r''	Imaginary part of complex μ_r
$R_{r,A}$	Radiation resistance of antenna in air
$R_{r,D}$	Radiation resistance of antenna in dielectric
$t_j \delta_\epsilon$	Loss factor of the electric field
$t_j \delta_\mu$	Loss factor of the magnetic field
W_n	Fourier coefficients of complex wave function
Z_1	Impedance
Z_{L0}	Characteristic impedance of feeding line
Z_A	Impedance of antenna in air
Z_0	Impedance of antenna in dielectric
z	Coordinate in the inhomogeneous antenna line
z_0	Coordinate of the outer boundary of dielectric medium
z_d	Coordinate of the inner boundary of dielectric medium

List of Illustrations

- Fig. 1: Improved shape of dielectric medium
- 2: Impedance curves of the antenna with improved shape of polystyrol
- 3: Relative bandwidth of the antenna with polystyrol of improved shape compared to bandwidth of the antenna in air
- 4: Test set-up for measuring the electric and magnetic characteristics of the ferrite
- 5: Ferrite samples
- 6: Test-sample line
- 7: Characteristics of ferrite U 60
- 8: Characteristics of ferrite HFFi
- 9: Characteristics of ferrite γ -Fe₂O₃
- 10: Characteristics of ferrite 7 M 15
- 11: Plaster molds for forming the ferrite shapes of improved form
- 12: Polystyrol and ferrite shapes of improved form
- 13: Ferrite shape of improved form seen from below
- 14: Radiator surrounded with ferrite of improved shape on the measuring plane
- 15: Impedance curves of the antenna with improved shape of Ferrite U 60
- 16: Relative bandwidth of the antenna with ferrite U 60 of improved shape compared to bandwidth of the antenna in air
- 17: Impedance curves of the antenna with improved shape of Ferrite HFFi
- 18: Relative bandwidth of the antenna with ferrite HFFi of improved shape compared to bandwidth of the antenna in air

Technische Hochschule München, Institut für Hochfrequenztechnik 30. November 1962	AF61(052)-506 TR-2 Electronics
RESEARCH ON ELECTRICALLY SMALL ANTENNAS	
Prof. Dr. H.H. Meinke	
Abstract: A performance improvement of the electrically small antenna can only be obtained by achieving a bandwidth enlargement of the antenna impedance. A bandwidth enlargement of electrically small antennas by surrounding them with ferrite of the same maximum height as the antenna is only possible if the geometrical height of the antenna becomes larger than $\lambda_0/20$. The outer regions of the ferrite form cause this bandwidth	Abstract: A performance improvement of the electrically small antenna can only be obtained by achieving a bandwidth enlargement of the antenna impedance. A bandwidth enlargement of electrically small antennas by surrounding them with ferrite of the same maximum height as the antenna is only possible if the geometrical height of the antenna becomes larger than $\lambda_0/20$. The outer regions of the ferrite form cause this bandwidth
Technische Hochschule München, Institut für Hochfrequenztechnik 30. November 1962	AF61(052)-506 TR-2 Electronics
RESEARCH ON ELECTRICALLY SMALL ANTENNAS	
Prof. Dr. H.H. Meinke	
Abstract: A performance improvement of the electrically small antenna can only be obtained by achieving a bandwidth enlargement of the antenna impedance. A bandwidth enlargement of electrically small antennas by surrounding them with ferrite of the same maximum height as the antenna is only possible if the geometrical height of the antenna becomes larger than $\lambda_0/20$. The outer regions of the ferrite form cause this bandwidth	Abstract: A performance improvement of the electrically small antenna can only be obtained by achieving a bandwidth enlargement of the antenna impedance. A bandwidth enlargement of electrically small antennas by surrounding them with ferrite of the same maximum height as the antenna is only possible if the geometrical height of the antenna becomes larger than $\lambda_0/20$. The outer regions of the ferrite form cause this bandwidth

broadening effect. Therefore a shape is used where the ferrite begins at some distance away from the feeding point of the antenna.

broadening effect. Therefore a shape is used where the ferrite begins at some distance away from the feeding point of the antenna.

broadening effect. Therefore a shape is used where the ferrite begins at some distance away from the feeding point of the antenna.